Thanks		Three-way decisions	Conclusion

# A Theory of Three-way Decisions (三支决策)

### Yiyu Yao (姚一豫)

### May 23, 2014



Yiyu Yao (姚<u>一豫)</u>

A Theory of Three-way Decisions (三支决策)

Thanks	Motivations	Three-way decisions	Conclusion
感谢			

- 西安工程大学科技大讲堂提供这次报告机会.
- 西安工程大学理学院的邀请.
- 西安工程大学科技处、研究生部的组织安排.
- Professor 贺兴时
- Professor 马盈仓
- Professor 李永明,陕西师范大学
- Dr. 冯锋, 西安邮电大学
- Professor 李昌兴, 西安邮电大学
- Dr. 杨海龙,陕西师范大学
- Dr. 李小南, 西安电子科技大学
- Dr. 折延宏, 西安石油大学
- Dr. 祁建军, 西安电子科技大学
- Dr. 魏 玲, 西北大学



"子贡问:'师与商也孰贤?'子曰:'师也过,商也不及。' 曰:'然则师愈与?'子曰:'**过犹不及**。'"

春秋时期,孔子的学生子贡问孔子他的同学子张和子夏哪个更贤明一些。孔子说子张常常超过周礼的要求,子夏则常常达不到周礼的要求。子贡又问,子张能超过是不是好一些,孔子回答说超过和达不到的效果是一样的。

Yivu Yao (姚一豫)

http://baike.sogou.com/v112495.htm

Three-way decisions: 不及, 中庸, 过



From two-way decisions to three-way decisions: Spatial (空间)

- top, middle, bottom,
- •上,中/不上不下,下
- front, middle, back
- 前,中/不前不后,后
- left, center, right
- 左, 中/不左不右, 右



# From two-way decisions to three-way decisions: Temporal (时间)

- yesterday, today, tomorrow
- 昨天, 今天, 明天
- past, present, future
- 过去, 现在, 将来

hanks Motivations History Three-way decisions examples

From two-way decisions to three-way decisions: Size and volume (尺寸和体积)

- long, medium, short
- 长,不长不短,短
- high, medium, low
- 高, 不高不低, 低
- large, medium, small
- 大,不大不小,小



From two-way decisions to three-way decisions: Attitude (态度)

- positive, neutral, negative
- •正,中性,负
- accept, non-commitment, reject
- 接受,不承诺,拒绝



From two-way decisions to three-way decisions: Evaluation (评价)

- yes/right, maybe, no/wrong
- 是/对,可能/不明确,非/否/错
- upper/top, middle, lower/bottom
- 高, 不高不低, 低
- good, so-so, bad
- 好,不好不坏,坏

Thanks	Motivations	Three-way decisions	Conclusion

### Why?

- Cognitive basis and advantages
  - Humans tend to classify and category the world.
  - Humans have a limited information processing capability.



### Organization and categorization

- Pinker S (1997) How the Mind Works. WW Norton & Company, New York.
  - Humans tend to organize.
  - Categorization is essential to mental life. capability.
  - Possible results of such organizations are some types of structures.
- In three-way decisions, we have triparition.



### Limited human information processing capability

- G.A. Milller (1956) The magical number seven, plus or minus two: Some limits on our capacity for processing information, Psychological Review 101, 343-352.
- N. Cowan (2001) The magical number 4 in short-term memory: A reconsideration of mental storage capacity, Behavioral and Brain Sciences 24, 87-114.
- The choice of three is appropriate.

Thanks	Motivations	Three-way decisions	Conclusion
			-

### Main Ideas

- 三支决策受到Rough集启发
- 三支决策比Rough集更具有普适性
- Rough集可以产生三个区域
- 三个区域不一定由Rough集获得
- 应跳出粗糙集的圈子,同其他学科更紧密地联系起来,走出自己独特的路子.

Thanks Motivations History Three-way decisions examples Conclusion

### A brief history of development (My contributions)

- Yao, Y.Y. Three-way decision: an interpretation of rules in rough set theory, RSKT 2009, LNAI 5589, pp. 642-649, 2009.
- Yao, Y.Y. Three-way decisions with probabilistic rough sets, Information Sciences, Vol. 180, No. 3, pp. 341-353, 2010.
- Yao, Y.Y. The superiority of three-way decisions in probabilistic rough set models, Information Sciences, Vol. 181, No. 6, 1080-1096, 2011.
- Yao, Y.Y., An Outline of a Theory of Three-way Decisions. In: Yao, J., Yang, Y., RSCTC 2012. LNCS (LNAI), vol. 7413, pp. 1-17. Springer, Heidelberg (2012).
- Yao, Y.Y., Granular computing and sequential three-way decisions. In: RSKT 2013. LNAI 8171, pp. 16-27.
- 姚一豫, 三支决策, 贾修一, 商琳, 周献中, 梁吉业, 苗夺谦, 王国胤, 李天瑞, 张燕平. 《三支决策理论与应用》, 南京大 学出版社, 南京, 1-16, 2012.

Thanks Motivations History Three-way decisions examples Conclusion

# A Few Related Studies (Examples):

- Liu, D., Li, T.R., Liang, D.C., Three-way government decision analysis with decision-theoretic rough sets, International Journal of Uncertainty, Fuzziness and Knowledge-based Systems 20 (2012) 119-132.
- Jia, X.Y., Zhang, K., Shang, L., Three-way decisions solution to filter spam email: An empirical study. RSCTC 2012. LNCS (LNAI), vol. 7413. Springer, Heidelberg (2012)
- Liu, D., Yao, Y.Y., Li, T.R., Three-way investment decisions with decision-theoretic rough sets, International Journal of Computational Intelligence Systems 4 (2011) 66-74
- Yu, H., Wang, Y., Three-way decisions method for overlapping clustering. RSCTC 2012. LNCS (LNAI), vol. 7413. Springer, Heidelberg (2012)

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Thanks

History

## A Few Related Studies (Examples):

- 刘盾,李天瑞.三枝决策粗糙集//李华雄,周献中,李天瑞, 王国胤,苗夺谦,姚一豫.决策粗糙集理论及其研究进展.北 京:科学出版社,2011.
- 刘盾,姚一豫,李天瑞. 三枝决策粗糙集. 计算机科学, 2011, 38: 245 250.
- 贾修一,李伟,商琳,陈家骏.一种自适应求三枝决策中决策
   阈值的算法.电子学报,2011,39:2520 2525.
- 贾修一, 商 琳. 一种求三支决策阈值的模拟退火算法, 2012, 手稿.
- 贾修一, 商琳, 陈家骏. 基于三支决策的属性约简// 中国人 工智能进展, 2009: 193 198.
- 胡卉颖,罗锦坤,刘阿宁.三枝决策粗糙集模型属性约简研究. 软件导刊,2012,11:2022.



### A brief history of development



李华雄,周献中,李天瑞,王国胤,苗夺谦,姚一豫.决策粗糙集理 论及其研究进展.北京:科学出版社,2011.



### A brief history of development



贾修一, 商琳, 周献中, 梁吉业, 苗夺谦, 王国胤, 李天瑞, 张 燕平. 三支决策理论与应用. 南京: 南京大学出版社, 2012.

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### A brief history of development



刘盾,李天瑞,苗夺谦,王国胤,粱吉业(编著).《三支决策 与粒计算》,科学出版社,北京,2013.

# Special Session on Three-way Decisions and Probabilistic Rough Sets in JRS 2013

# Organizers: Hong Yu, Bing Zhou, Dun Liu, Fan Min, Xiuyi Jia, and Huaxiong Li

#### Three-Way Decision Rough Sets

Three-way Decision based Overlapping Community Detection Youli Liu, Lei Pan, Xiuyi Jia, Chongjun Wang and Junyuan Xie	276
Three-way Decisions in Dynamic Decision-Theoretic Rough Sets Dun Liu, Tianrui Li and Decui Liang	288
A Cluster Ensemble Framework Based on Three-way Decisions $\ldots \ldots \ldots$ . Hong $Yu$	300
Multistage Email Spam Filtering with Three-Way Decisions Jianlin Li, Xiaofei Deng and Yiyu Yao	311
Cost-Sensitive Three-Way Decision: A Sequential Strategy Huaxiong Li, Xian-Zhong Zhou, Bing Huang and Dun Liu	323
Two phase classification based on three-way decisions Weiwei Li, Zhiqiu Huang and Xiuyi Jia	335
A Three-way Decisions Model Based on Constructive Covering Algorithm Yanping Zhang, Hang Xing, Huijin Zou and Shu Zhao	343

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### First International Workshop, 2013

### The 2013 International Symposium on Three-way Decision and Granular Computing (ISTDGC2013)

August 12-14, 2013, Southwest Jiaotong University, Chengdu, China

	Program at Glance
Monday, August 1	2, 2013
10:00-19:00	Registration: Mirror Lake Hotel, Southwest Jiaotong University
Tuesday, August	3, 2013
08:30-08:45	Welcome and Opening Ceremony (ROOM1)
08:50-09:50	Keynote 1: Yiyu Yao (ROOM1)
09:45-10: 30	Photo & Coffee Break
10: 30-11: 20	Keynote 2: Duoqian Miao (ROOM1)
11:20-12:10	Keynote 3: Yanping Zhang (ROOM1)
	Lunch (ROOM2)
14:30-15: 20	Keynote 4: Baoqing Hu (ROOM1)
15:20-16: 10	Keynote 5: Jiye Liang (ROOM1)
16:10-16: 30	Coffee Break
16:30-17: 20	Keynote 6: Lin Shang (ROOM1)
17:20-18:10	Keynote 7: Hong Yu (ROOM1)
18:10-19:30	Dinner (ROOM2)
19:30-21:30	Seminar (ROOM1)
Wednesday, Augu	st 14, 2013
08:30-09:10	Keynote 8: Fan Min (ROOM1)
09:10-09:50	Keynote 9: Huaxiong Li (ROOM1)
09:50-10:10	Coffee Break
10:10-10:50	Keynote 10: Decul Liang (ROOM1)
10:50-11:30	Keynote 11: Xibel Yang (ROOM1)
11:30-12:10	Keynote 12: Hongkai Wang (ROOM1)
	Lunch (ROOM2)
14:30-15:20	Keynote 13: Dun Liu (ROOM1)
15:20-16:10	Keynote 14: Tianrui Li (ROOM1)





### Second International Workshop, 2014

Call for Papers

The Second International Workshop on Three-way Decisions, Uncertainty, and Granular Computing Tangi University, Shangital, October 24, 28, 2014 http://see.tongji.edu.cn/RSKT2014/

Three-way Decisions theory, formulated based on the notions of acceptance, rejection and no commitment, provides a meaningful semantics interpretation of the three regions in the rough set theory. It offers a new insight into Granular Computing with uncertainty. A broad scope of research on the Three-way Decisions will not only contribute to a better understanding of the essentials of rough set theory, but also effective applications in decision-making. In recent work, the three-way decisions have been successfully used for decision analysis under uncertainty, decision making, cluster analysis, information filtering, Web-based support systems, attribute reduction, multi-criteria classification and multi-view decision models.



Website of three-way decisions (You are welcome to join) http://www2.cs.uregina.ca/~twd/

Homepage of Three-way Decisions

(三支决策主页)



Introduction to a Theory of Three-way Decisions (三支决策理论介绍)

<u>News(相关新闻)</u>

<u>Researchers in Three-way Decisions (三支决策研究专家)</u>

Publications (出版物)

- Books and journal special issues (出版书籍和专刊)
- List of papers by authors (论文列表-按作者序)

Conferences, Workshops, and Special Sessions (会议,研讨会和专题讨论)

# JingTao Yao and Yan Zhang, A Scientometrics Study of Rough Sets in Three Decades, RSKT 2013

	Paper	Total	Average	Main Results
		Citations	per Year	
1	Feng+ 2008 [10]	91	15.17	Soft sets
	Yao YY+2008 [73]	81	13.50	Reduction in DTRS
	Yao YY 2008 [66]	76	12.67	Probabilistic rough sets
4	Hu+ 2008 [19]	75	12.50	App - feature subset selection
5	Zhu 2009 [74]	69	13.80	Generalized RS
6	Hu+ 2008 [20]	65	10.83	App - neighborhood classifier
7	Jensen+ 2009 [21]	64	12.80	App - feature selection
8	Wu 2008 [52]	60	10.00	Attribute reduction
9	Qian+ 2010 [41]	55	13.75	Reduction accelerator
10	Wang+2008 [49]	52	8.67	App - rule induction
11	Thangavel+ 2009 [48]	48	9.60	Reduction (survey)
12	Liu 2008 [27]	48	8.00	Generalized RS
13	Qian+ 2008 [40]	48	8.00	Measures
14	Yang+ 2008 [55]	45	7.50	Dominance RS
15	Feng+ 2010 [11]	44	11.00	Soft sets
16	Yao YY 2010 [68]	41	10.25	Introduced three-way decision
17	Xiao+ 2009 [54]	41	8.20	App - forecasting
18	Bai+ 2010 [3]	38	9.50	Combining with grey system
	Li+ 2008 [25]	38	6.33	App - prediction
20	Feng+ 2011 [12]	37	12.33	Soft sets

Table 6. Top 20 cited papers in recent 5 years

A Theory of Three-way Decisions (三支决策)

Yiyu Yao (姚一豫)

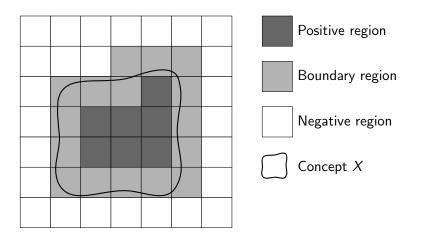


JingTao Yao and Yan Zhang, A Scientometrics Study of Rough Sets in Three Decades, RSKT 2013

- There are many new and young researchers, many of them from China, contributed to the highly cited papers in recent five year.
- Most of highly cited papers in last five years are extensions and applications of existing research.
- There is a need for new ideas and development.
- The theory of three-way decisions, motivated by rough set three-regions but goes beyond rough sets, is a promising research direction that may lead to new breakthrough.

		Three-way decisions	Conclusion

## Rough set approximations





### Two Formulations of Pawlak Rough Sets

• Rough sets as a pair of lower and upper approximations:

$$\underline{apr}(A) = \{x \in U \mid [x] \subseteq A\},\$$
  
$$\overline{apr}(A) = \{x \in U \mid [x] \cap A \neq \emptyset\}.$$

Rough sets as three-regions:

$$POS(A) = \{x \in U \mid [x] \subseteq A\};$$
  

$$NEG(A) = \{x \in U \mid [x] \subseteq A^{c}\};$$
  

$$BND(A) = (POS(A) \cup NEG(A))^{c}$$
  

$$= \{x \in U \mid \neg([x] \subseteq A^{c}) \land \neg([x] \subseteq A)\}.$$

 Z. Pawlak, Rough Sets, Theoretical Aspects of Reasoning about Data, Kluwer Academic Publishers, Dordrecht, 1991.



### Decision-theoretic Rough Sets

- Consider a pair of thresholds  $(\alpha, \beta)$  with  $0 \le \beta < \alpha \le 1$
- Probabilistic rough sets as a pair of lower and upper approximations:

$$\underline{apr}_{(\alpha,\beta)}(A) = \{x \in U \mid Pr(A|[x]) \ge \alpha\},\$$
  
$$\overline{apr}_{(\alpha,\beta)}(A) = \{x \in U \mid Pr(A|[x]) > \beta\}.$$

• Probabilistic rough sets as three-regions:

$$\begin{aligned} &\operatorname{POS}_{(\alpha,\beta)}(A) &= \{x \in U \mid \Pr(A|[x]) \geq \alpha\}, \\ &\operatorname{NEG}_{(\alpha,\beta)}(A) &= \{x \in U \mid \Pr(A|[x]) \leq \beta\}, \\ &\operatorname{BND}_{(\alpha,\beta)}(A) &= \{x \in U \mid \beta < \Pr(A|[x]) < \alpha\}. \end{aligned}$$



### Three-way Decisions with Rough Sets

- Interpretation of three-way decisions:
  - Positive region: acceptance, rules of acceptance
  - Negative region: rejection, rules of rejection
  - Boundary region: noncommitment, further information/evidence is required.
- Pawlak rough sets vs. decision-theoretic rough sets
  - Can be uniformly interpreted in terms of three-way decisions.
  - Pawlak rough set model is a qualitative model: no incorrect acceptance error, no incorrect rejection error.
  - Decision-theoretic rough set model is a quantitative model: tolerance of incorrect acceptance error (≤ 1 − α), tolerance of incorrect rejection error (≤ β).



A Basic Question:

- Can we generalize three-way decisions into a larger context?
- We will try to answer this question today.

Thanks		Three-way decisions	Conclusion

- Medical decision-making: Treatment, non-treatment, further investigation/testing
- Lurie, J.D., Sox, H.C.: Principles of medical decision making. Spine 24, 493-498 (1999)
- Pauker,, S.G., Kassirer, J.P.: The threshold approach to clinical decision making. The New England Journal of Medicine 302, 1109-1117 (1980)
- Schechter, C.B.: Sequential analysis in a Bayesian model of diastolic blood pressure measurement. Medical Decision Making 8, 191-196 (1988)

Thanks		Three-way decisions	Conclusion

- Social judgement theory: Acceptance, rejection, and noncommitment
- Sherif, M., Hovland, C.I.: Social Judgment: Assimilation and Contrast Effects in Communication and Attitude Change. Yale University Press, New Haven (1961)

Thanks		Three-way decisions	Conclusion

- Hypothesis testing in statistics: Accept, reject, and further test
- Wald, A.: Sequential tests of statistical hypotheses. The Annals of Mathematical Statistics 16, 117-186 (1945)

Thanks		Three-way decisions	Conclusion

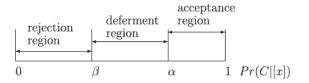
- Management sciences: Continue a program, stop a program, and further observation
- Goudey, R.: Do statistical inferences allowing three alternative decision give better feedback for environmentally precautionary decision-making. Journal of Environ- mental Management 85, 338-344 (2007)
- Woodward, P.W., Naylor, J.C.: An application of Bayesian methods in SPC. The Statistician 42, 461-469 (1993)

Thanks		Three-way decisions	Conclusion

- Peering review process: Accept, reject, and further review
- Weller, A.C.: Editorial Peer Review: Its Strengths and Weaknesses. Information Today, Inc., Medford, NJ (2001)



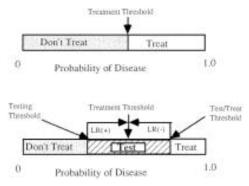
### Decision-theoretic Rough Sets



Yao, Y.Y., The superiority of three-way decisions in probabilistic rough set models. Information Sciences 181 (2011) 1080-1096.

Thanks		Three-way decisions	Conclusion

### Clinical three-way decisions



Lurie, J.D., Sox, H.C.: Principles of medical decision making. Spine 24, 493-498 (1999).



### Observations

- Three-way decisions are everywhere.
- Three-way decisions are made everyday.
- Three-way decisions are normally described by using different vocabularies in different disciplines.
- There is still a lack of common framework or general theory on three-way decisions. (Additional information is welcome.)
- It is a good time to start working on a theory of three-way decisions.



三支决策的基本思想

#### The problem of three-way decisions.

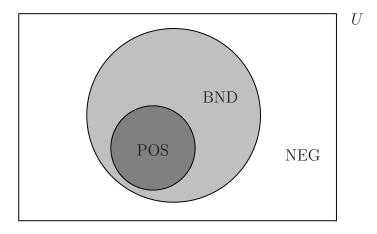
设U是有限、非空实体集,C是有限条件集。三支决策是基于条件集C,将实体集U划分为三个两两不相交的区域(即正域、负域和边界域)。

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Yiyu Yao (姚一豫)

Thanks		Three-way decisions	Conclusion

三支决策的基本思想



A Theory of Three-way Decisions (三支决策)



#### Basic ideas

- Build evaluation functions for dividing objects into three regions, corresponding to three decisions/actions.
  - Determine and interpret the values of evaluation functions for three-way decisions, that is, designated values for acceptance and designated values for rejection.
  - Determine three regions based on evaluation status values.
- Utilize the three regions, that is, process the three regions.



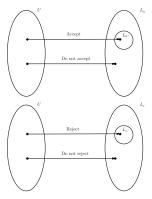
#### Basic Ingredients and Issues

- Values of evaluation functions: Construction and interpretation of a set of values for measuring satisfiability and a set of values for measuring non-satisfiability.
- Evaluation functions: Construction and interpretation of evaluations.
- Designated values:

Determination and interpretation of designated values for acceptance and designated values for rejection.



# Model 1: Three-way Decisions with a Pair of Poset-based Evaluations



Thanks		Three-way decisions	Conclusion

## Model 1: Three-way Decisions with a Pair of Poset-based Evaluations

Suppose U is a finite nonempty set and  $(L_a, \preceq_a)$   $(L_r, \preceq_r)$  are two posets. A pair of functions  $v_a : U \longrightarrow L_a$  and  $v_r : U \longrightarrow L_r$  is called an acceptance evaluation and a rejection evaluation, respectively. For  $x \in U$ ,  $v_a(x)$  and  $v_r(x)$  are called the acceptance and rejection values of x, respectively.

Thanks			Three-way decisions	Conclusion
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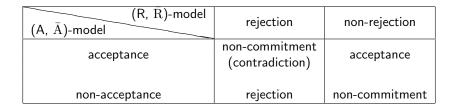
Model 1: Three-way Decisions with a Pair of Poset-based Evaluations

Let  $\emptyset \neq L_a^+ \subseteq L_a$  be a subset of  $L_a$  called the designated values for acceptance, and  $\emptyset \neq L_r^- \subseteq L_r$  be a subset of  $L_r$  called the designated values for rejection. The positive, negative, and boundary regions of three-way decisions induced by  $(v_a, v_r)$  are defined by:

$$\begin{aligned} &\text{POS}_{(L_a^+, L_r^-)}(v_a, v_r) &= \{ x \in U \mid v_a(x) \in L_a^+ \land v_r(x) \notin L_r^- \}, \\ &\text{NEG}_{(L_a^+, L_r^-)}(v_a, v_r) &= \{ x \in U \mid v_a(x) \notin L_a^+ \land v_r(x) \in L_r^- \}, \\ &\text{BND}_{(L_a^+, L_r^-)}(v_a, v_r) &= (\text{POS}_{(L_a^+, L_r^-)}(v_a, v_r) \cup \text{NEG}_{(L_a^+, L_r^-)}(v_a, v_r))^c \\ &= \{ x \in U \mid (v_a(x) \notin L_a^+ \land v_r(x) \notin L_r^-) \lor (v_a(x) \in L_a^+ \land v_r(x) \in L_r^-) \}. \end{aligned}$$

Thanks		Three-way decisions	Conclusion

#### Model 1



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# Model 1 (Special case: total order)

Two-way decisions for	Non	Non-acceptance			
acceptance			$v(x) \in L^+$		
Two-way decisions for rejection	$\begin{array}{c} \text{Rejection} \\ \hline \\ v(x) \in L^{-} \end{array}$	Non-rejectio	xn		
Three-way decisions	Rejection	Non- commitment	Acceptance		

 $v(x) \not\in L^+ \wedge v(x) \not\in L^-$ 

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### Model 1 (Special case: total order)

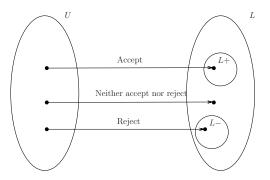




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# Model 2: Three-way Decisions with One Poset-based Evaluation



Thanks		Three-way decisions	Conclusion

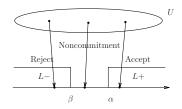
# Model 2: Three-way Decisions with One Poset-based Evaluation

Suppose  $(L, \preceq)$  is a poset. A function  $v : U \longrightarrow L$  is called an acceptance-rejection evaluation. Let  $L^+, L^- \subseteq L$  be two subsets of L with  $L^+ \cap L^- = \emptyset$ , called the designated values for acceptance and the designated values for rejection, rspectively. The positive, negative, and boundary regions of three-way decisions induced by v is defined by:

$$\begin{aligned} &\text{POS}_{(L^+,L^-)}(v) &= \{ x \in U \mid v(x) \in L^+ \}, \\ &\text{NEG}_{(L^+,L^-)}(v) &= \{ x \in U \mid v(x) \in L^- \}, \\ &\text{BND}_{(L^+,L^-)}(v) &= \{ x \in U \mid v(x) \notin L^+ \land v(x) \notin L^- \}. \end{aligned}$$



Model 3: Three-way Decisions with an Evaluation Using a Totally Ordered Set





Thanks		Three-way decisions	Conclusion

# Model 3: Three-way Decisions with an Evaluation Using a Totally Ordered Set

Suppose  $(L, \preceq)$  is a totally ordered set, that is,  $\preceq$  is a total order. For two elements  $\alpha, \beta$  with  $\beta \prec \alpha$  (i.e.,  $\beta \preceq \alpha \land \neg(\alpha \preceq \beta)$ ), suppose that the set of designated values for acceptance is given by  $L^+ = \{t \in L \mid t \succeq \alpha\}$  and the set of designated values for rejection is given by  $L^- = \{b \in L \mid b \preceq \beta\}$ . For an evaluation function  $v : U \longrightarrow L$ , its three regions are defined by:

$$\begin{aligned} &\text{POS}_{(\alpha,\beta)}(\mathbf{v}) &= \{ x \in U \mid \mathbf{v}(x) \succeq \alpha \}, \\ &\text{NEG}_{(\alpha,\beta)}(\mathbf{v}) &= \{ x \in U \mid \mathbf{v}(x) \preceq \beta \}, \\ &\text{BND}_{(\alpha,\beta)}(\mathbf{v}) &= \{ x \in U \mid \beta \prec \mathbf{v}(x) \prec \alpha \}. \end{aligned}$$

Thanks		Three-way decisions	Conclusion

### Model 3





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### Construction of Evaluations (An Example)

Suppose  $C = \{c_1, c_2, ..., c_m\}$  are a set of *m* criteria. Suppose  $v_{c_i} : U \longrightarrow \Re$  denotes an evaluation based on criterion  $v_i$ ,  $1 \le i \le m$ . An overall evaluation function  $v : U \longrightarrow \Re$  may be simply defined by a linear combination of individual evaluations:

$$v(x) = v_{c_1}(x) + v_{c_2}(x) + \ldots + v_{c_m}(x).$$



#### Determination of Designated Sets (An Example)

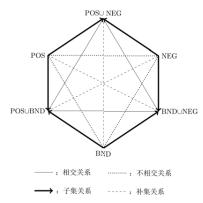
Let  $R_P(\alpha, \beta)$ ,  $R_N(\alpha, \beta)$  and  $R_B(\alpha, \beta)$  denote the risks of the positive, negative, and boundary regions, respectively. It is reasonable to require that the sets of designated values are chosen to minimize the following overall risks:

$$R(\alpha,\beta) = R_P(\alpha,\beta) + R_N(\alpha,\beta) + R_B(\alpha,\beta).$$

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Thanks		Three-way decisions	Conclusion

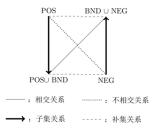
# 三支决策的几何描述



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Thanks		Three-way decisions	Conclusion

三支决策的几何描述



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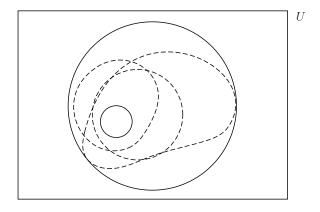
• A closed interval set is a subset of  $2^U$  of the form,

$$[A_I, A_u] = \{A \in 2^U \mid A_I \subseteq A \subseteq A_u\},\$$

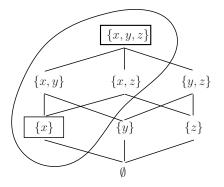
where it is assumed that  $A_I \subseteq A_u$ , and  $A_I$  and  $A_u$  are called the lower and upper bound, respectively.

 Yao, Y.Y., Interval-set algebra for qualitative knowledge representation. In: Proceedings of the 5th International Conference on Computing and Information, pp. 370-374 (1993)









 $[\{x\},\{x,y,z\}] = \{\{x\},\{x,y\},\{x,z\},\{x,y,z\}\}.$ 



• Three Regions of an interval sets: positive regions, boundary regions, and negative regions,

$$\begin{aligned} &\text{POS}([A_l, A_u]) &= A_l, \\ &\text{NEG}([A_l, A_u]) &= (A_u)^c \\ &\text{BND}([A_l, A_u]) &= A_u - A_l \\ &= (\text{POS}([A_l, A_u]) \cup \text{BND}([A_l, A_u]))^c. \end{aligned}$$

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Interval Sets and Three-valued Logic (Model 3)

The sets of designated values for acceptance and rejection are defined by a pair of thresholds (*T*, *F*), namely,
 L<sup>+</sup> = {a ∈ L | *T* ≤ a} = {*T*} and

$$L^- = \{b \in L \mid b \preceq F\} = \{F\}.$$

• Three-way decisions:

$$\begin{aligned} &\text{POS}_{(T,F)}([A_{I},A_{u}]) &= \{x \in U \mid v_{[A_{I},A_{u}]}(x) \succeq T\} = A_{I}, \\ &\text{NEG}_{(T,F)}([A_{I},A_{u}]) &= \{x \in U \mid v_{[A_{I},A_{u}]}(x) \preceq F\} = (A_{u})^{c}, \\ &\text{BND}_{(T,F)}([A_{I},A_{u}]) &= \{x \in U \mid F \prec v_{[A_{I},A_{u}]}(x) \prec T\} = A_{u} - A_{I}. \end{aligned}$$



#### Three-way approximations of a fuzzy sets

L.A. Zadeh, Fuzzy sets, Information and Control 8 (1965) 338-353.

• 模糊集

$$\mu_A: U \longrightarrow [0,1]$$

• "... one can introduce two levels  $\alpha$  and  $\beta$  ( $0 < \alpha < 1$ ,  $0 < \beta < 1$ ,  $\alpha > \beta$ ) and agree to say that (1) 'x belongs to A' if  $f_A(x) \ge \alpha$ ; (2) 'x does not belong to A' if  $f_A(x) \le \beta$ ; and (3) 'x has an indeterminate status relative to A' if  $\beta < f_A(x) < \alpha$ . This leads to a three-valued logic (Kleene, 1952) with three truth values:  $T(f_A(x) \ge \alpha)$ ,  $F(f_A(x) \le \beta)$ , and  $U(\beta < f_A(x) < \alpha)$ ."



#### Three-way approximations of a fuzzy sets

• 模糊集

$$\mu_A: U \longrightarrow [0,1]$$

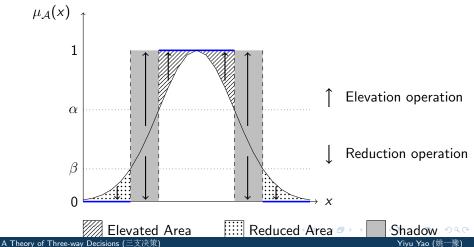
• The three regions are defined respectively by:

$$\begin{aligned} &\text{POS}_{(\alpha,\beta)}(\mu_{\mathcal{A}}) &= \{ x \in U \mid \mu_{\mathcal{A}}(x) \geq \alpha \}, \\ &\text{NEG}_{(\alpha,\beta)}(\mu_{\mathcal{A}}) &= \{ x \in U \mid \mu_{\mathcal{A}}(x) \leq \beta \}, \\ &\text{BND}_{(\alpha,\beta)}(\mu_{\mathcal{A}}) &= \{ x \in U \mid \beta < \mu_{\mathcal{A}}(x) < \alpha \}. \end{aligned}$$

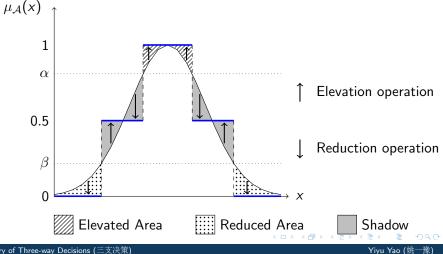


#### Shadowed Sets

W. Pedrycz, Shadowed sets: Representing and processing fuzzy sets, IEEE Tran. on System, Man and Cybernetics 28 (1998) 103-109.



examples Three-way approximations of fuzzy sets Deng, X.F., and Yao, Y.Y., Decision-theoretic three-way approximations of fuzzy sets, Information Sciences, 2014.



Thanks		Three-way decisions	Conclusion

#### Conclusion

- 三支决策的研究是一种新的尝试,欲将众多领域中所用到的 决策方法和思想同人类直观的信息处理模式联系在一起,以 期提供一个普适的理论。
- 三支决策的研究虽然刚刚开始,却已取得了很多令人鼓舞的成果。
- 许多学者的关注和欣然加入,更为该理论的发展注入了新鲜血液、打下了坚实基础。



#### Recommendation

 从对一个现有理论的小修补到一个新理论提出和发展,这是 在研究理念上的一次飞跃。

Yiyu Yao (姚一豫)



#### Recommendation

- 三支决策的进一步研究应强调多样性和创新性。
- 跳出粗糙集的圈子。
- 我们共同的努力将可能建立起一个完善的三支决策理论。

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Thank you for your attention

# Thanks!

# 谢谢!

For more information, see http://www.cs.uregina.ca/~yyao

A Theory of Three-way Decisions (三支决策)

